

10/565,11

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Graf, James A. *et al.*

International Application Number

PCT/US04/23932

International Filing Date

23 July 2004

Priority Date

23 July 2003

For:

**EXTRACTING DATA FROM SEMI-
STRUCTURED TEXT DOCUMENTS**

Attorney Docket

94732

**Information Disclosure Statement
Under 37 CFR §1.97(b)**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Forms PTO/SB/08A and B are enclosed. Please examine the application in light of these references.

Sincerely,



Peter Forrest
Registration No. 33,235
Attorney for Applicant

January 23, 2006

GP:1903259 v1

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Complete if Known

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Sheet

1

of

2

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Signature

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English language translation is advised.

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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 20/565611 |
| | | | | Filing Date | 01/23/2006 |
| | | | | First Named Inventor | Graf, James A. |
| | | | | Group Art Unit | |
| | | | | Examiner Name | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 94732 |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
|---|-----------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
| | O | GERDES, John, Jr.; "EDGAR – Analyzer: automating the analysis of corporate data contained in the SEC's EDGAR database;" <i>Decision Support Systems</i> , Vol. 35, Issue 1; April 2003; pgs 7 – 29. | |
| | P | BERNSTEIN, A.; et al.; "Discovering Knowledge from Relational Data Extracted from Business News" Stern School of Business, New York University; New York, NY; date unknown. | |
| | Q | LEINEMANN et al., "Automatic Extraction and Analysis of Financial Data from the EDGAR Database," <i>South African Journal of Information Management</i> Vol. 3(2) September 2001, page numbers unknown, available at http://generalupdate.rau.ac.za/infosci/conf/thursday/Leinnemann.htm | |
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